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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/767,649	01/29/2004	Thomas J. Daley	04-6176	5572
63710 <b>DEAN P. ALD</b> I	7590 12/17/200 ERUCCI	EXAMINER		
CANTOR FITZGERALD, L.P.			VIZVARY, GERALD C	
	110 EAST 59TH STREET (6TH FLOOR) NEW YORK, NY 10022		ART UNIT	PAPER NUMBER
			3696	
			MAIL DATE	DELIVERY MODE
			12/17/2008	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

	Application No.	Applicant(s)				
Office Action Comments	10/767,649	DALEY ET AL.				
Office Action Summary	Examiner	Art Unit				
	GERALD C. VIZVARY	3696				
The MAILING DATE of this communication ap Period for Reply	pears on the cover sheet with the c	orrespondence address				
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 1 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).						
Status						
	Contambor 2009					
· <u> </u>	Responsive to communication(s) filed on <u>03 September 2008</u> .					
<i>,</i>	<i>,</i> —					
•	Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.					
closed in accordance with the practice under a	Ex parte Quayle, 1935 C.D. 11, 45	3 O.G. 213.				
Disposition of Claims						
4)⊠ Claim(s) <u>18-34 and 69-119</u> is/are pending in t	he application.					
	4a) Of the above claim(s) is/are withdrawn from consideration.					
5) Claim(s) is/are allowed.						
· · · · · · · · · · · · · · · · · · ·						
6) Claim(s) is/are rejected.						
7) Claim(s) is/are objected to.	tion and/or aloation requirement					
8) Claim(s) <u>18-34 &amp; 69-119</u> are subject to restriction and/or election requirement.						
Application Papers						
9)☐ The specification is objected to by the Examiner.						
10) The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner.						
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).						
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.03(a).						
11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.						
The patrol declaration is objected to by the E	varianci. Note the attached Office	Action of Ionn't 10-102.				
Priority under 35 U.S.C. § 119						
<ul> <li>12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>a) All b) Some * c) None of:</li> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No</li> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>						
Attachment(s)  1) Notice of References Cited (PTO-892)  2) Notice of Draftsperson's Patent Drawing Review (PTO-948)  3) Information Disclosure Statement(s) (PTO/SB/08)  Paper No(s)/Mail Date	4)  Interview Summary Paper No(s)/Mail Da 5)  Notice of Informal P 6) Other:	ate				

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## **DETAILED ACTION**

1. The following is a non-final office action in response to the communications received on 9/3/2008.

## Response to Amendment

- 2. In the amendment filed 9/3/2008, the following has occurred: claims 1-17 & 35-68 have been cancelled. Claims 18-34 have been amended. Claims 69-119 are new. Now, claims 18-34 & 69-119 are presented for examination.
- 3. The following Restriction was necessitated by the amendment to the claims and the newly added claims.

## Election/Restrictions

- 4. Restriction to one of the following inventions is required under 35 U.S.C. 121:
  - Claims 18-34, drawn to a method of processing bid requests, classified in class 705, subclass 37.
  - II. Claims 69-85, drawn to an apparatus comprising a memory and processor, classified in class 703, subclass 21.
  - III. Claims 86-102, drawn to an article of manufacture, classified in class 710, subclass 74.
  - IV. Claims 103-119, drawn to a method of processing offer requests, classified in class 705, subclass 38.

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5. The inventions are distinct, each from the other because of the following reasons:

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Inventions I, II, III and IV are unrelated. Inventions are unrelated if it can be shown that

they are not disclosed as capable of use together and they have different designs,

modes of operation, and effects (MPEP § 802.01 and § 806.06). In the instant case, the

different inventions have different scopes. Furthermore they have different modes of

operation thus yielding different effects and are not capable of use together for the

reason set forth.

6. Restriction for examination purposes as indicated is proper because all these

inventions listed in this action are independent or distinct for the reasons given above

and there would be a serious search and examination burden if restriction were not

required because one or more of the following reasons apply:5

(a) the inventions have acquired a separate status in the art in view of their

different classification;

(b) the inventions have acquired a separate status in the art due to their

recognized divergent subject matter;

(c) the inventions require a different field of search (for example, searching

different classes/subclasses or electronic resources, or employing different

search queries);

(d) the prior art applicable to one invention would not likely be applicable to

another invention;

(e) the inventions are likely to raise different non-prior art issues under 35 U.S.C.101 and/or 35 U.S.C. 112, first paragraph.

Applicant is advised that the reply to this requirement to be complete must include (i) an election of a invention to be examined even though the requirement may be traversed (37 CFR 1.143) and (ii) identification of the claims encompassing the elected invention.

The election of an invention may be made with or without traverse. To reserve a right to petition, the election must be made with traverse. If the reply does not distinctly and specifically point out supposed errors in the restriction requirement, the election shall be treated as an election without traverse. Traversal must be presented at the time of election in order to be considered timely. Failure to timely traverse the requirement will result in the loss of right to petition under 37 CFR 1.144. If claims are added after the election, applicant must indicate which of these claims are readable on the elected invention.

If claims are added after the election, applicant must indicate which of these claims are readable upon the elected invention.

Should applicant traverse on the ground that the inventions are not patentably distinct, applicant should submit evidence or identify such evidence now of record showing the inventions to be obvious variants or clearly admit on the record that this is the case. In either instance, if the examiner finds one of the inventions unpatentable over the prior art, the evidence or admission may be used in a rejection under 35 U.S.C. 103(a) of the other invention.

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7. Any inquiry concerning this communication or earlier communications from the

examiner should be directed to GERALD C. VIZVARY whose telephone number is

(571)270-3268. The examiner can normally be reached on Monday thru Friday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's

supervisor, Ella Colbert can be reached on 571-272-6741. The fax phone number for

the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent

Application Information Retrieval (PAIR) system. Status information for published

applications may be obtained from either Private PAIR or Public PAIR. Status

information for unpublished applications is available through Private PAIR only. For

more information about the PAIR system, see http://pair-direct.uspto.gov. Should you

have guestions on access to the Private PAIR system, contact the Electronic Business

Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO

Customer Service Representative or access to the automated information system, call

800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Ella Colbert/ Primary Examiner, Art Unit 3696

Gerald Vizvary
Patent Examiner, A.U. 3696
December 11, 2008